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ATTORNEY'S DOCKET NUMBER

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TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/403011✓

INTERNATIONAL APPLICATION NO.

PCT/JP99/01099 ✓

INTERNATIONAL FILING DATE

08 March 1999 ✓

PRIORITY DATE CLAIMED

10 March 1998 ✓

TITLE OF INVENTION

CDMA BASE STATION APPARATUS AND TRANSMISSION POWER CONTROL METHOD ✓

APPLICANT(S) FOR DO/EO/US

Mitsuru UESUGI and Osamu KATO ✓

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(C)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A Translation of the International Application into English (35 U.S.C. 371 (c)(2)).  
"WITH EXECUTED VERIFICATION"
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  
"EXECUTED"
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

International Application as published in Japanese (cover sheet).  
 PCT/ROR/101 PCT Request (with International Application as filed in Japanese).  
 PCT/ISA/210 (in Japanese).  
 PCT/IB/304.  
 PCT/IB/308.  
 Claim of Priority.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 10px;">09/403011</div>		INTERNATIONAL APPLICATION NO. PCT/JP99/01099		ATTORNEY'S DOCKET NUMBER P18583	
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <div style="margin-left: 20px;"> <p>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</p> <p>Search report has been prepared by the EPO or JPO. . . . . \$ 840.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482). . . . . \$ 670.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO(37 CFR 1.445(a)(2)) . . . . . \$ 760.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. . . . . \$ 970.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). . . . . \$ 96.00</p> <p style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> </div>				CALCULATIONS		PTO USE ONLY																																																																																			
<div style="margin-left: 20px;"> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <u>  20  </u> <u>  30  </u> months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 10px;"> <tr> <td style="width:15%;">Claims</td> <td style="width:15%;">Number Filed</td> <td style="width:15%;">Number Extra</td> <td style="width:15%;">RATE</td> <td style="width:15%;">\$</td> <td style="width:15%;"></td> </tr> <tr> <td>Total Claims</td> <td>11 - 20 =</td> <td>0</td> <td>X \$18.00</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td>Independent Claims</td> <td>4 - 3 =</td> <td>1</td> <td>X \$78.00</td> <td>\$</td> <td>78.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$260.00</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$</td> <td>918.00</td> </tr> <tr> <td colspan="4">Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)</td> <td>\$</td> <td>0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL =</td> <td></td> <td>918.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <u>  20  </u> <u>  30  </u> months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td style="text-align: center;">+</td> <td style="text-align: center;">0.00</td> </tr> <tr> <td colspan="4">Extension of Time fee in the amount of \$</td> <td style="text-align: center;">+</td> <td style="text-align: center;">0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td> <td></td> <td>918.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td style="text-align: center;">+</td> <td style="text-align: center;">40.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td> <td></td> <td>958.00</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: center;">Amount to be refunded</td> <td>\$</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: center;">Charged</td> <td>\$</td> </tr> </table></div>				Claims	Number Filed	Number Extra	RATE	\$		Total Claims	11 - 20 =	0	X \$18.00	\$	0.00	Independent Claims	4 - 3 =	1	X \$78.00	\$	78.00	Multiple dependent claim(s) (if applicable)			+ \$260.00	\$	0.00	TOTAL OF ABOVE CALCULATIONS =				\$	918.00	Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$	0.00	SUBTOTAL =					918.00	Processing fee of \$130.00 for furnishing the English translation later than <u>  20  </u> <u>  30  </u> months from the earliest claimed priority date (37 CFR 1.492(f)).				+	0.00	Extension of Time fee in the amount of \$				+	0.00	TOTAL NATIONAL FEE =					918.00	Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	40.00	TOTAL FEES ENCLOSED =					958.00					Amount to be refunded	\$					Charged	\$		
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a. ☒ A check in the amount of \$958.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No.          in the amount of \$          to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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## DESCRIPTION

CDMA BASE STATION APPARATUS AND  
TRANSMISSION POWER CONTROL METHOD

5

## Technical Field

The present invention relates to a base station apparatus and a transmission power control method to carry out CDMA communications. To be more specific, the present invention relates to a CDMA base station apparatus and a transmission power control method that optimally control the transmission power of the base station before and after a move when a soft handover takes place.

15

## Background Art

A conventional CDMA base station apparatus carrying out handover control has a configuration shown below. FIG.1 are block diagrams showing an outline of conventional mobile station apparatus M, base station apparatus A and base station apparatus B.

Mobile station apparatus M comprises mobile station frame formatter 1, spreader 2, modulator 3, amplifier 4, duplexer 5, antenna 6, integrator 7, demodulator 8, despreader 9, RAKE combiner 10, SIR measurer 11 and AND operator 12.

On the other hand, base station apparatus A comprises frame formatter 13, spreader 14, modulator 15,

amplifier 16, duplexer 17, antenna 18, integrator 19, demodulator 20, desreader 21, SIR measurer 22 and RAKE combiner 23.

Likewise, base station apparatus B comprises frame  
 5 formatter 24, spreader 25, modulator 26, amplifier 27, duplexer 28, antenna 29, integrator 30, demodulator 31, desreader 32, SIR maesurer 33 and RAKE combiner 34.

The mobiles station apparatus and base station  
 apparatuses configured as shown above carry out a  
 10 handover as shown in FIG.2 to FIG.5. FIG.2 is a schematic diagram showing how a handover takes place, FIG.3 shows the reception power of the mobile station apparatus without transmission power control, FIG.4 shows the transmission power of the base station apparatuses with  
 15 transmission power control and FIG.5 shows the reception power of the mobile station apparatus carrying out transmission power control using conventional transmission/reception apparatuses.

As shown in FIG.2, handover control is required when  
 20 mobile station apparatus M moves from the area of base station A within the reach of radio waves of base station apparatus A to the area of base station apparatus B within the reach of radio waves of base station apparatus B. At this time, mobile station apparatus M must switch a  
 25 communication with base station apparatus A to one with base station apparatus B. In this case, CDMA communications, etc. allow the mobile station apparatus to use a same frequency in neighboring areas, making a

so-called soft handover possible, which is a way of realizing a seamless handover in a soft manner.

When carrying out a handover, mobile station M receives reception power R1 with which the mobile station  
5 receives a signal transmitted from base station apparatus A without transmission power control and reception power R2 with which the mobile station receives another signal transmitted from base station apparatus B without transmission power control, and combines both  
10 R1 and R2. FIG.3 shows how this combination takes place.

As shown in FIG.3, mobile station apparatus M combines reception power R1 and reception power R2 into reception power R3. Through such a combination of reception signals, mobile station apparatus M can  
15 receive a signal with combined reception power R3 with a quality exceeding the required level even on the cell boundary (central part of FIG.3) distant from both base station apparatuses.

However, the two base station apparatuses above do  
20 not carry out downlink transmission power control. This causes combined reception power R3 of mobile station apparatus M to have an excessive quality exceeding the desired quality when mobile station apparatus M is near either base station apparatus A or base station apparatus  
25 B as shown in FIG.4.

The right and left ends of combined reception power R3 in FIG.4 show this situation. Thus, if the reception levels at the start and end of a handover have an excessive

quality, signals transmitted from the base station apparatuses to the mobile station apparatus may not only cause interference with communications of other users but also suppress the system capacity.

5 For this reason, the base station apparatuses need to perform downlink transmission power control. That is, mobile station apparatus M sends transmission power control signals to base station apparatus A and base station apparatus B. Based on the transmitted base station control signals, base station apparatus A  
10 controls transmission power T1 and base station apparatus B controls transmission power T2. That is, transmission power T1 of base station apparatus A is controlled to be lower near base station apparatus A and  
15 transmission power T2 of base station apparatus B is controlled to be lower near base station apparatus B. FIG.5 shows this situation.

By carrying out such transmission power control, reception power R1' at mobile station apparatus M of a  
20 signal transmitted by base station apparatus A and reception power R2' at mobile station apparatus M of another signal transmitted by base station apparatus B together constitute reception power R3' shown in FIG.4. Thus, by combining signals received from two base station  
25 apparatuses, combined reception power R3' at the mobile station apparatus matches the desired quality, making it possible to suppress excessive transmission power from the base stations and improve the system capacity.

A conventional CDMA transmission system carrying out downlink transmission power control when mobile station apparatus M carries out a soft handover from the area of base station apparatus A to the area of base station apparatus B is explained in detail with reference to FIG.1.

Mobile station apparatus M sends TX\_DATA\_U1, an uplink transmission signal, to the base station apparatuses. Mobile station apparatus M carries out error correction coding on transmission signal TX\_DATA\_U1 by frame formatter 1. At the same time, mobile station apparatus M inserts a pilot symbol and transmission power control signal TPC\_DM for a downlink signal determined based on the result of SIR measurer 11 that estimates the quality of the downlink signal. Further, mobile station apparatus M spreads the output of frame formatter 1 by spreader 2, modulates by modulator 3, amplifies by amplifier 4, and then sends it from antenna 6 via duplexer 5. At this time, the amplification factor of amplifier 4 is controlled by the output of integrator 7.

On the other hand, base station apparatus A inputs the signal received from antenna 18 via duplexer 17, demodulates by demodulator 20, processes by despreader 21 and RAKE combiner 23 and obtains reception signal RX\_DATA\_UA. Then, base station apparatus A estimates the reception quality of the uplink signal by SIR measurer 22 using the result of RAKE combiner 23 and

determines the transmission power control level of the uplink signal based on this estimated value TPC\_UBA. Frame formatter 13 inserts a pilot signal and uplink signal transmission power control bit TPC\_UBA into  
 5 downlink signal TX\_DATA\_D subjected to error correction coding.

Base station apparatus A spreads this signal by spreader 14, modulates by modulator 15, amplifies by amplifier 16 and transmits it from antenna 18 via  
 10 duplexer 17. The amplification factor then is determined by a value obtained by integrator 19 integrating downlink transmission power control signal TPC\_DBA which was extracted by RAKE combiner 23. By the way, downlink transmission power control signal TPC\_DBA  
 15 used at this time is the demodulated TPC\_DM that was inserted into the uplink signal by the mobile station. This is how base station apparatus A performs downlink transmission power control.

Likewise, base station apparatus B inputs the  
 20 signal received from antenna 29 via duplexer 28, demodulates by demodulator 31, processes by despreaders 32 and RAKE combiner 34 and obtains reception signal RX\_DATA\_UB. At this time, base station apparatus B estimates the reception quality of the uplink signal by  
 25 SIR measurer 33 using the result of RAKE combiner 34 and determines the transmission power control level of the uplink signal based on this estimated value TPC\_UBB. Frame formatter 24 inserts a pilot signal and uplink



signal transmission power control bit TPC\_UBB into downlink signal TX\_DATA\_D subjected to error correction coding. TX\_DATA\_D is the same as TX\_DATA\_D of base station A.

5           Base station apparatus B spreads this signal by spreader 25, modulates by modulator 26, amplifies by amplifier 27 and transmits it from antenna 29 via duplexer 28. The amplification factor then is determined by a value obtained by integrator 30

10   integrating downlink transmission power control signal TPC\_DBB which was extracted by RAKE combiner 34. Downlink transmission power control signal TPC\_DBB used at this time is the demodulated TPC\_DM that was inserted into the uplink signal by the mobile station. This is

15   how base station apparatus B performs downlink transmission power control.

          If there are no demodulation errors, TPC\_DBA and TPC\_DBB are the same, and therefore the same amplification factor of the downlink signal is used for

20   base station apparatus A and base station apparatus B, hence the same increment/decrement control is performed. However, since their initial values of amplification factor are not always the same, the absolute values of amplification factor are not always the same.

25           Mobile station apparatus M demodulates the signal received from antenna 6 via duplexer 5 by demodulator 8 and obtains received data RX\_DATA\_D via despreader 9 and RAKE combiner 10. Furthermore, mobile station

apparatus M estimates the quality of the downlink reception signal by SIR measurer 11 using the result of RAKE combiner 10 and determines downlink signal transmission power control bit TPC\_DM based on this  
5 estimated value. Transmission power control of the downlink signal above is performed according to this signal.

RAKE combiner 10 extracts the uplink transmission power control signals inserted into both the downlink  
10 signal from base station apparatus A and the uplink signal from base station apparatus B. TPC\_UMA is the extracted TPC\_UBA that was inserted by base station apparatus A and TPC\_UMB is the extracted TPC\_UBB that was inserted by base station apparatus B.

15 Transmission power signals TPC\_UBA and TPC\_UBB have different values, but the transmission power of the uplink signal is increased only when both TPC\_UMA and TPC\_UMB have control to increase the transmission power through AND operator 612. Otherwise, mobile station  
20 apparatus M determines TPC\_UM, an input signal to integrator 607 so that the transmission power of the uplink signal is reduced. This prevents the uplink signal from having excessive transmission power at the time of a soft handover.

25 As shown above, the conventional transmission/reception system performs transmission power control for both the uplink signal and downlink signal at the time of a soft handover, which suppresses

excessive transmission power within a certain range, preventing the system capacity from reducing.

However, in the conventional transmission/reception apparatus above, mobile station apparatus M inserts the same downlink signal transmission power control bit for both base station apparatus A that stays in the handover source area and base station apparatus B that stays in the handover destination area, and thus performs the same transmission power control. That is, although contributions of the reception signal from base station apparatus A and the reception signal from base station apparatus B to a combined reception signal at mobile station apparatus M are different at the beginning and at the end of the handover, both base station apparatus send signals with the same transmission power over the entire handover period. As a result, the transmission power at the beginning and at the end of the handover may constitute interference with other users and suppress the capacity of the communication system.

#### Disclosure of Invention

It is an objective of the present invention to provide a transmission power control method and a transmission/reception apparatus capable of improving the system capacity by preventing transmissions with excessive transmission power during a soft handover and reducing total transmission power of the system while

maintaining the reception quality at the receiving station.

This objective is achieved by performing first transmission power control according to a transmission power control signal inserted into an uplink signal and second transmission power control, when the distance between the base station and mobile station is great, to reduce the transmission power.

#### 10 Brief Description of Drawings

FIG.1 are outlined block diagrams of a conventional example of CDMA base station apparatus;

FIG.2 is a drawing showing how a handover takes place in the conventional example of CDMA base station apparatus;

FIG.3 is a schematic diagram of reception power of a mobile station of the conventional example of CDMA base station apparatus without transmission power control;

FIG.4 is a schematic diagram of reception power of the mobile station of the conventional example with transmission power control;

FIG.5 is a schematic diagram of transmission power of the base station of the conventional example with transmission power control;

FIG.6 are outlined block diagrams of a CDMA base station apparatus according to Embodiment 1 of the present invention;

FIG.7 is a schematic diagram of transmission power

of the base station apparatus according to Embodiment 1.

FIG.8 is a schematic diagram of reception power of the mobile station apparatus according to Embodiment 1.

5        FIG.9 are outlined block diagrams of a CDMA base station apparatus according to Embodiment 2 of the present invention; and

10        FIG.10 are outlined block diagrams of a CDMA base station apparatus according to Embodiment 3 of the present invention.

#### Best Mode for Carrying out the Invention

##### (Embodiment 1)

15        With reference now to the attached drawings, a CDMA base station apparatus according to Embodiment 1 of the present invention is explained below. FIG.6 are block diagrams showing an outlined configuration of a system including a CDMA base station apparatus according to  
20        Embodiment 1 of the present invention.

Mobile station apparatus M comprises frame formatter 101, spreader 102, modulator 103, amplifier 104, duplexer 105, antenna 106, integrator 107, demodulator 108, desreader 109, RAKE combiner 110, SIR  
25        maesurer 111 and AND operator 112.

On the other hand, base station apparatus A comprises frame formatter 113, spreader 114, modulator 115, A amplifier 116, duplexer 117, antenna 118,

integrator 119, demodulator 120, desreader 121, SIR  
maesurer 122 and RAKE combiner 123, and further offset  
adjuster 135.

Likewise, base station apparatus B comprises frame  
5 formatter 124, spreader 125, modulator 121, amplifier  
127, duplexer 128, antenna 129, integrator 130,  
demodulator 131, desreader 132, SIR maesurer 133 and  
RAKE combiner 134, and further offset adjuster 136.

Offset adjusters 135 and 136 have a function to  
10 adjust offset values of the amplification factors of  
amplifiers 116 and 117. In Embodiment 1, offset adjuster  
135 at base station apparatus A controls the  
amplification factor taking into account both a value  
obtained by integrator 119 integrating the output from  
15 RAKE combiner 123 and the quality of the uplink signal  
estimated by SIR maesurer 122. Likewise, offset  
adjuster 136 at base station apparatus B controls the  
amplification factor taking into account both a value  
obtained by integrator 130 integrating the output from  
20 RAKE combiner 134 and the quality of the uplink signal  
estimated by SIR maesurer 133.

The transmission power control processing when a  
handover is carried out by base station apparatuses A  
and B above is explained in detail with reference to FIG.7  
25 and FIG.8. FIG.7 is a schematic diagram of the  
transmission power of the base station apparatus of  
Embodiment 1 of the present invention and FIG.8 is a  
drawing showing the reception power level of mobile

station apparatus M of Embodiment 1 of the present invention. In Embodiment 1, suppose a soft handover takes places when mobile station apparatus M moves from the area of base station apparatus A to the area of base station apparatus B.

Mobile station apparatus M sends uplink signal TX\_DATA\_U. First, frame formatter 101 carries out error correction coding on the transmission data, inserts a pilot symbol and inserts downlink signal transmission power control signal TPC\_DM determined based on the result of SIR measurer 111 that estimates the quality of the downlink signal. The output signal of this frame formatter 101 is spread by spreader 102, modulated by modulator 103, amplified by amplifier 104 and transmitted from antenna 106 via duplexer 105. The amplification factor of amplifier 104 is controlled by integrator 107.

Base station apparatus A separates the signal received from antenna 118 by duplexer 117, demodulates by demodulator 120, subjects to signal processing by despreader 121 and RAKE combiner 123 and obtains reception signal RX\_DATA\_UA. At this time, SIR measurer 122 estimates the reception quality of the uplink signal using the output of RAKE combiner 123 and determines the transmission power control level of the uplink signal based on estimated value TPC\_UBA. The determined transmission power control level is inserted into downlink transmission signal TX\_DATA\_D as a transmission

power control bit by frame formatter 113.

The output signal of frame formatter 113 is spread by spreader 114, modulated by modulator 115, amplified by amplifier 116 and transmitted from antenna 118 via  
5 duplexer 117.

The amplification factor of amplifier 116 is controlled by incrementing/decrementing the offset value of offset adjuster 135 to which a value obtained by integrator 119 integrating TPC\_DBA which was  
10 extracted by RAKE combiner 123, based on the quality of the uplink signal estimated by SIR measurer 122.

To be more specific, if the quality of the uplink signal is not good, that is, if the output value of SIR measurer 122 is low, offset adjuster 135 determines that  
15 mobile station apparatus M is far from base station apparatus A and reduces the offset value to reduce the transmission power. On the contrary, if the quality of the uplink signal is good, that is, if the output value of SIR measurer 122 is high, offset adjuster 135  
20 determines that mobile station apparatus M is near base station apparatus A and increases the offset value.

The SIR measured value used for offset adjustment is averaged to a certain degree so that it does not respond to instantaneous variations. Control over  
25 instantaneous variations is more effective than using the integration result of integrator 119 to which downlink transmission power control signal TPC\_DBA is input. This downlink transmission power control signal



TPC\_DBA is obtained by demodulating TPC\_DM inserted to the uplink signal by the mobile station. That is, drastic transmission power variations can be suppressed by incrementing/decrementing the previous transmission  
5 power by 1 dB according to transmission power control signal TPC\_DBA. This is how base station A performs downlink transmission power control.

On the other hand, base station apparatus B separates the signal received from antenna 129 by  
10 duplexer 128, demodulates by demodulator 131, subjects to signal processing by despreaders 132 and RAKE combiner 134 and obtains reception signal RX\_DATA\_UB. At this time, SIR measurer 133 estimates the reception quality of the uplink signal using the output of RAKE combiner  
15 134 and determines the transmission power control signal of the uplink signal based on estimated value TPC\_UBB. The determined transmission power control signal is inserted into downlink transmission signal TX\_DATA\_D as a transmission power control bit by frame formatter 124.

20 As in the case of base station apparatus A, the output signal from the frame formatter is spread by spreader 125, modulated by modulator 126, amplified by amplifier 127 and transmitted from antenna 129 via duplexer 128. The amplification factor of amplifier 127  
25 is controlled, as in the case of base station apparatus A, by RAKE combiner 134, integrator 130 and SIR measurer 133, etc. An increment/decrement of offset values of offset adjuster 136 of base station apparatus B is also

controlled in the same way as for base station apparatus A above.

If there are no demodulation errors, TPC\_DBA matches TPC\_DBB, and the output of integrator 119 of base station apparatus A would originally match the output of integrator 130 of base station apparatus B. However, the amplification factor of the downlink signal of the amplifier of base station apparatus A and the amplification factor of the downlink signal of the amplifier of base station apparatus B are set to different values by the above control of offset adjusters 135 and 136.

Offset adjusters 135 and 136 above have a configuration in which values of the uplink signal measured by SIR measurers 122 and 133 or those values converted by a table, etc. and then optimized are added to the outputs of integrators 119 and 130. This allows not only transmission power control common to all base stations using the TPC bit inserted into the uplink signal but also power control specific to each base station apparatus according to its distance from the mobile station apparatus.

The table used for conversion of SIR measurement results are created by obtaining through simulation an optimum conversion function that will minimize the total transmission power of the system.

Furthermore, offset adjusters 135 and 136 can multiply the outputs of integrators 119 and 130 by the

results of SIR measurements, etc. instead of adding the results of SIR measurements to the outputs of integrators 119 and 130. Offset adjusters 135 and 136 can also perform some linear processing or non-linear processing  
 5 on the outputs of integrators 119 and 130 based on the SIR values.

On the other hand, mobile station apparatus M receives the signal whose transmission power has been controlled as shown above from antenna 106, separates  
 10 by duplexer 105, demodulates by demodulator 108, subjects it to signal processing by despreader 109 and RAKE combiner 110 and obtains reception signal RX\_DATA\_D. SIR measurer 111 estimates the quality of the downlink reception signal using the output of RAKE combiner 110  
 15 and determines downlink transmission power control signal TPC\_DM to be inserted into the uplink signal based on the estimated quality of the downlink reception signal. This is how downlink transmission power control is performed.

20 Mobile station apparatus M further extracts the uplink transmission power control signal inserted into the downlink signal from the output of RAKE combiner 110. Uplink transmission power control signal TPC\_UMA is obtained by extracting control signal TPC\_UBA inserted  
 25 by base station apparatus A from the downlink signal. Uplink transmission power control signal TPC\_UMB is obtained by extracting control signal TPC\_UBB inserted by base station apparatus B from the downlink signal.

Uplink transmission power control signals TPC\_UMA and TPC\_UMB received from base station apparatus A and base station apparatus B, respectively have different values. Mobile station apparatus M carries out

5 transmission power control to increase the transmission power of the uplink signal through AND operator 112 only when both control signals TPC\_UMA and TPC\_UMB have control to increase the transmission power. Otherwise, mobile station apparatus M determines control value

10 TPC\_UM so that the transmission power of the uplink signal is decreased. As a result, if mobile station apparatus M is close to either base station apparatus A or base station apparatus B, the transmission power does not increase and the transmission power does

15 increase only when mobile station apparatus M is far from both base station apparatus A and base station apparatus B. Therefore, at the beginning or at the end of soft handover control, transmission power control of the farther base station apparatus becomes dominant, which

20 prevents the uplink signal from the mobile station apparatus from having excessive transmission power.

The transmission power of base station apparatuses A and B with transmission power control above and the reception power of mobile station apparatus M are

25 explained below using FIG.7 and FIG.8.

In FIG.7, transmission power T1 (CPC\_TA) and transmission power T2 (CPC\_TB) represent transmission powers when base station apparatus A and base station

apparatus B carry out transmission power control according to the conventional method. In this case, as explained in FIG.4, less contribution of the combination by the mobile station apparatus is observed on the right side of transmission power T1 and left side of transmission power T2 despite the great power with which signals were sent.

On the contrary, according to Embodiment 1, base station apparatus A and base station apparatus B carry out transmission power control above using offset adjusters 135 and 136. As a result, as shown in FIG.7, transmission power T1" of base station apparatus A and transmission power T2" of base station apparatus B decrease greatly at either the beginning or the end of a handover. Due to a drop of transmission power of either base station apparatus, the total transmission power of the system as a whole decreases.

FIG.8 shows the reception power of mobile station apparatus M. The reception power of signals received from base station apparatuses A and B with conventional transmission power control is represented by R1' and R2', respectively.

On the other hand, the reception power of signals received from base station apparatuses A and B with transmission power control according to Embodiment 1 is shown as R1" and R2", respectively.

The reception power combining these signals received from base station apparatuses A and B is shown

as R3". As shown in the figure, when mobile station apparatus is far from base station apparatus A, reception power R1" of mobile station apparatus M is almost the same as reception power R1' with conventional control.

5 However, when mobile station apparatus M is close to base station apparatus A, reception power R1" of mobile station apparatus M is by far lower than reception power R1' with conventional control. On the contrary, when mobile station apparatus M is close to base station

10 apparatus B, reception power R2" of mobile station apparatus M is by far lower than reception power R2' with conventional control.

As a result, reception power R3" at the mobile station apparatus has a uniform required quality over

15 the entire period of a handover even if mobile station apparatus M is close to either base station apparatus at the beginning or at the end of the handover.

As shown above, each base station apparatus according to Embodiment 1 performs downlink signal

20 transmission power control independently of each other, and therefore the reception power of the mobile station apparatus does not increase beyond the desired quality in the vicinity of the base station apparatuses.

Moreover, the total transmission power of the system

25 decreases, whereas the system capacity and transmission quality increase.

(Embodiment 2)

A CDMA base station apparatus according to Embodiment 2 of the present invention is explained below with reference to the attached drawings. FIG.9 are block diagrams showing an outlined configuration of a system including a CDMA base station apparatus according to Embodiment 2 of the present invention.

The configuration of the CDMA base station apparatus according to Embodiment 2 is basically the same as that of the transmission/reception apparatus in Embodiment 1, and therefore the same components are assigned the same numbers and their explanations are omitted.

The difference from Embodiment 1 is that base station apparatuses A and B are provided with reception power maesurers 401 and 402, respectively. The transmission/reception apparatus according to Embodiment 2 carries out measurements of the level of reception signals from a mobile station apparatus at the base station apparatuses not by SIR maesurers but by reception power maesurers 401 and 402. Reception power maesurers 401 and 402 adjust the level of offset values of offset adjusters 135 and 136 according to these measurement results.

That is, the amplification factor of downlink transmission signal TX\_DATA\_D is controlled by a value obtained by integrators 119 and 130 integrating transmission power control signal TPC\_DBA which was extracted by RAKE combiners 123 and 134 and the reception

power values of the uplink signal measured by reception power maesurers 401 and 402.

If the reception power of the uplink signal measured by reception power maesurers 401 and 402 is small, mobile station M is judged to be far form base station A. In this case, base station apparatuses A and B reduce the offset values of offset adjusters 135 and 136 to reduce the amplification factor of amplifier 116, reducing the transmission power. On the contrary, if the reception power is large, mobile station apparatus M is judged to be near base station A and base station apparatuses A and B increase the offset values to increase the transmission power.

The reception power measured values used for offset adjustment are averaged to a certain degree so that they do not respond to instantaneous variations. As in the case of Embodiment 1, control over instantaneous variations can be performed by suppressing drastic variations of the transmission power by incrementing/decrementing the previous transmission power by 1 dB according to transmission power control signal TPC\_DBA received.

As shown above, according to Embodiment 2, the base station apparatuses can perform more accurate downlink transmission power control through control by the transmission power control signal received and offset control based on the reception power values measured by reception power maesurers 401 and 402.



(Embodiment 3)

A CDMA base station apparatus according to Embodiment 3 of the present invention is explained below with reference to the attached drawings. FIG.10 are block diagrams showing an outlined configuration of a system including a CDMA base station apparatus according to Embodiment 3 of the present invention.

The configuration of the CDMA base station apparatus according to Embodiment 3 is basically the same as that of the transmission/reception apparatus in Embodiment 1, and therefore the same components are assigned the same numbers and their explanations are omitted.

The difference from Embodiment 1 is that base station apparatuses A and B are provided with time difference maesurers 501 and 502, respectively. The transmission/reception apparatus according to Embodiment 3 carries out measurements of the level of reception signals from a mobile station apparatus at base station apparatuses not by SIR maesurers but by time difference maesurers 501 and 502. Time difference maesurers 501 and 502 adjust the level of offset values of offset adjusters 135 and 136 according to the measurement results.

That is, the amplification factor of downlink transmission signal TX\_DATA\_D is controlled by both a value obtained by integrators 119 and 130 integrating

transmission power control signal TPC\_DBA which was extracted by RAKE combiners 123 and 134 and the time difference between the downlink signal transmission timing and the uplink signal reception timing measured  
5 by time difference maesurers 501 and 502.

If the time difference measured by time difference maesurers 501 and 502 is large, mobile station apparatus M is judged to be far form base station A. In this case, base station apparatuses A and B reduce the offset values  
10 of offset adjusters 135 and 136 to reduce the amplification factor of amplifier 116, reducing the transmission power. On the contrary, if the time difference is small, mobile station apparatus M is judged to be near base station A and base station apparatuses  
15 A and B increase the offset values to increase the transmission power. The time difference measured values used for offset adjustment are averaged to a certain degree so that they do not respond to instantaneous variations.

20 As shown above, according to Embodiment 3, the base station apparatuses can perform more accurate downlink transmission power control through control by the transmission power control signal received and offset control based on the time difference between the  
25 transmission and receptions signals measured by time difference maesurers 501 and 502.

According to the present invention, the reception power of the mobile station apparatus does not increase

beyond the desired quality in the vicinity of the base station apparatuses, preventing signals from being transmitted with excessive transmission power during a soft handover. Moreover, the total transmission power of the system decreases, whereas the system capacity and transmission quality increase.

This application is based on the Japanese Patent Application No.HEI 10-078315 filed on March 10, 1998, entire content of which is expressly incorporated by reference herein.

#### Industrial Applicability

The present invention is applicable to a digital radio communication system using CDMA. Since it prevents excessive quality for both mobile station and base station apparatuses, the present invention is ideal when a soft handover takes place.

What is claimed is:

1. A CDMA base station apparatus comprising:  
first transmission power control means for  
5 carrying out transmission power control between a mobile  
station and base station according to a transmission  
power control signal mixed into a reception signal;  
reception quality estimation means for estimating  
the reception quality of the reception signal; and  
10 second transmission power control means for  
carrying out transmission power control to reduce the  
transmission power to said communication destination  
when said estimated reception quality is low.

2. A CDMA base station apparatus comprising:  
15 first transmission power control means for  
carrying out transmission power control between a mobile  
station and base station according to a transmission  
power control signal mixed into a reception signal;  
reception power measuring means for measuring the  
20 reception power of the reception signal; and  
second transmission power control means for  
carrying out transmission power control to reduce the  
transmission power to said communication destination  
when said measured reception power is low.

25 3. A CDMA base station apparatus comprising:  
first transmission power control means for  
carrying out transmission power control between a mobile  
station and base station according to a transmission

power control signal mixed into a reception signal;

time difference measuring means for measuring a time difference between the reception timing of the reception signal and transmission timing of the

5 transmission signal; and

second transmission power control means for carrying out transmission power control to reduce the transmission power to said communication destination when said measured time difference is large.

10 4. The CDMA base station apparatus according to claim 1, wherein transmission power control by said first transmission power control means and second transmission power control means is carried out by a single amplifier and at the same time a reduction of transmission power  
15 by said second transmission power control means is carried out by adjusting the offset value of said amplifier.

5. A CDMA base station apparatus, wherein transmission power control is carried out by the CDMA  
20 base station apparatus according to claim 1 when a handover takes place.

6. A CDMA base station apparatus, wherein transmission power is increased only when both a transmission power control signal received from a base  
25 station apparatus according to claim 1, the origin of movement when a handover takes place, and a transmission power control signal received from another base station apparatus according to claim 1, the destination of

movement contain commands to increase transmission power.

7. A transmission power control method, wherein first transmission power control is performed according to a transmission power control signal mixed into the reception signal, and if a base station is far from a mobile station, second transmission power control is performed to reduce the transmission power.

8. The transmission power control method according to claim 7, wherein the distance estimation in the second transmission power control is performed by estimating the reception quality of said received uplink signal or downlink signal by an SIR measurer, and if the estimated reception quality is low, the transmission power to the communication destination is reduced.

9. The transmission power control method according to claim 7, wherein the distance estimation in the second transmission power control is performed by measuring the reception power of said received uplink signal or downlink signal, and if the measured reception power is low, the transmission power to the communication destination is reduced.

10. The transmission power control method according to claim 7, wherein the distance estimation in the second transmission power control is performed by measuring the time difference between the reception timing of the reception signal and the transmission timing of the transmission signal, and if the measured

time difference is large, the transmission power to the communication destination is reduced.

11. A handover control method, wherein the total amount of transmission power during a handover is  
5 suppressed by using the transmission power control method according to claim 7 during the handover.

## ABSTRACT

The base station apparatus extracts a transmission power control signal mixed into an uplink reception  
5 signal and performs first transmission power control by amplifiers 116 and 127. At the same time, the base station apparatus estimates the reception quality of the uplink reception signal by SIR maesurers 122 and 133, and performs second transmission power control by  
10 adjusting the offset values of amplifiers 116 and 127 by offset adjusters 135 and 136 according to the estimation result. The second transmission power control is performed so as to reduce the transmission power when the base station is far from the mobile station  
15 and increase the transmission power when the base station is near the mobile station.



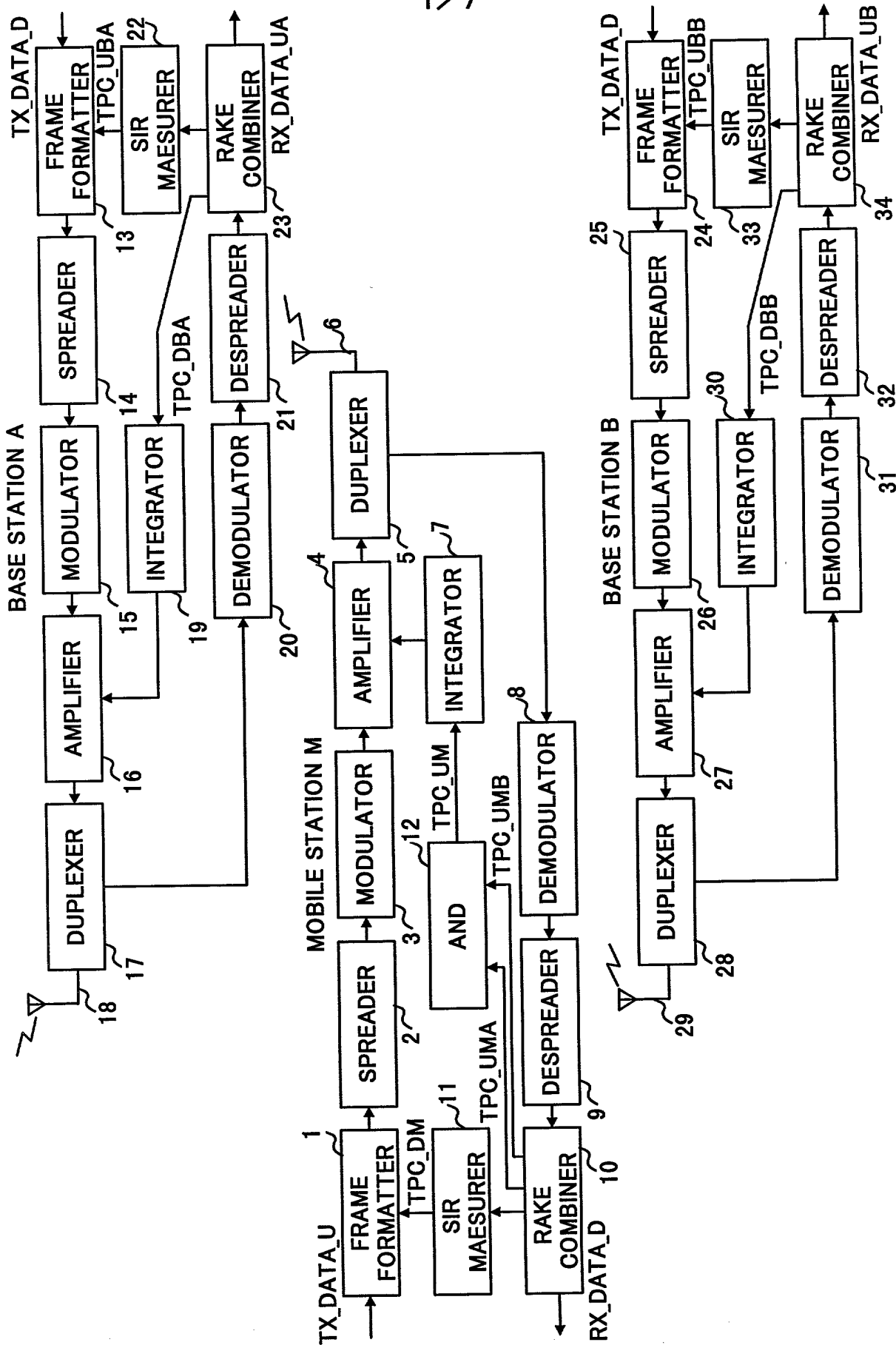


FIG.1

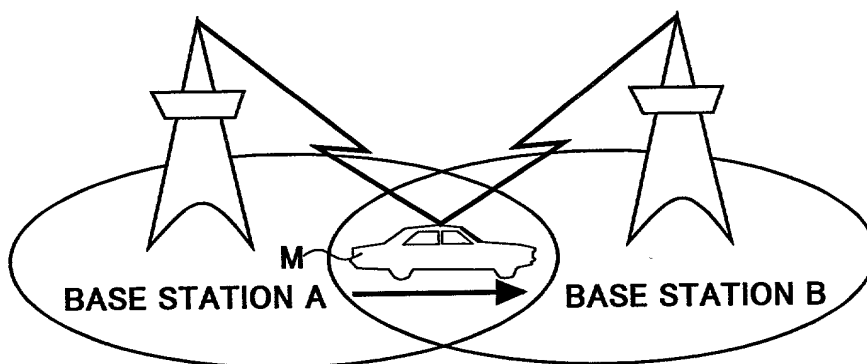


FIG.2

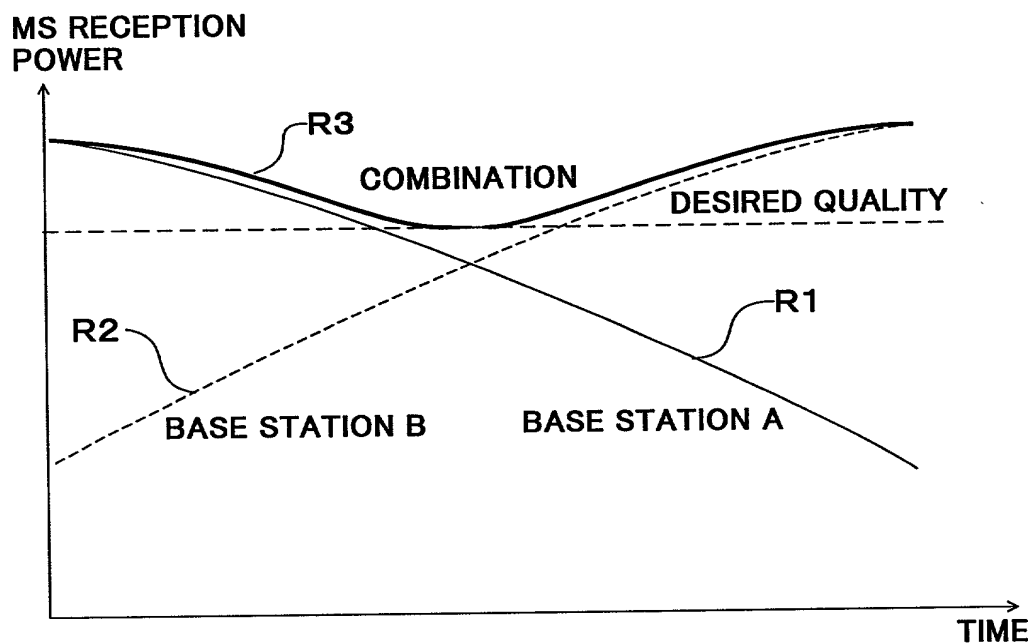


FIG.3

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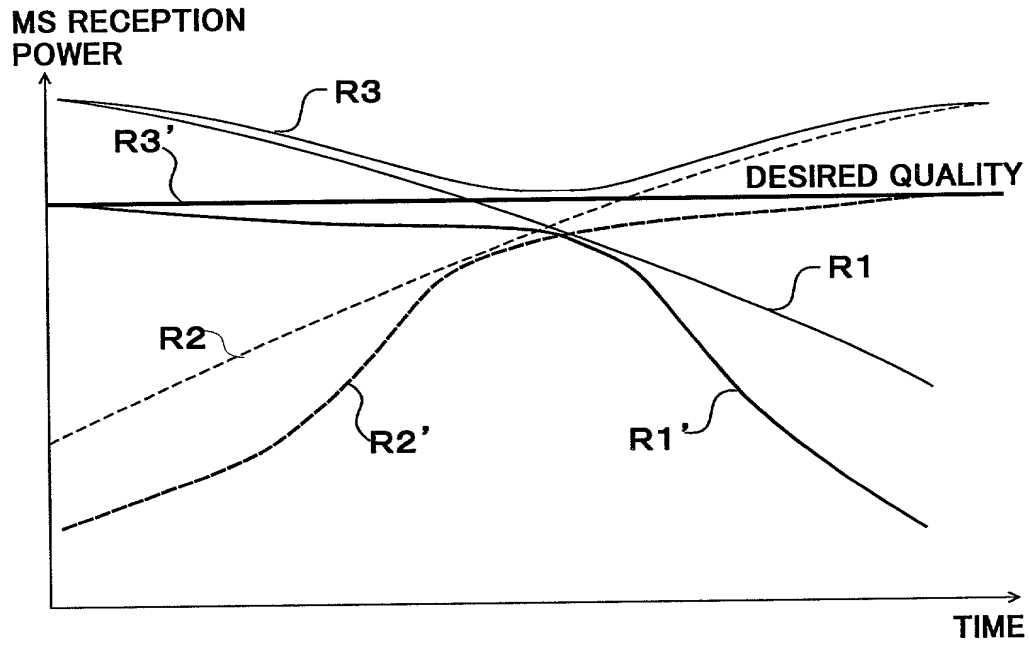


FIG. 4

BASE STATION TRANSMISSION  
POWER WITH TRANSMISSION  
POWER CONTROL

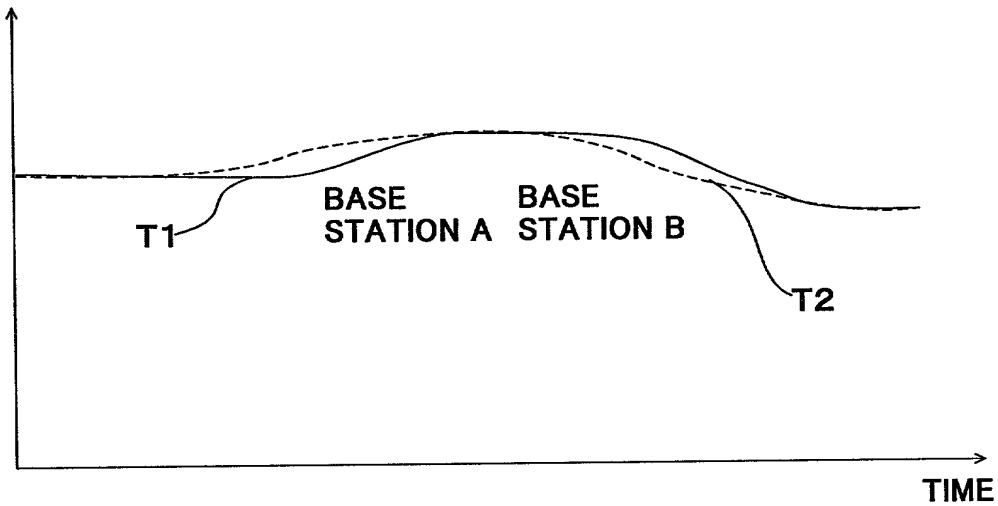
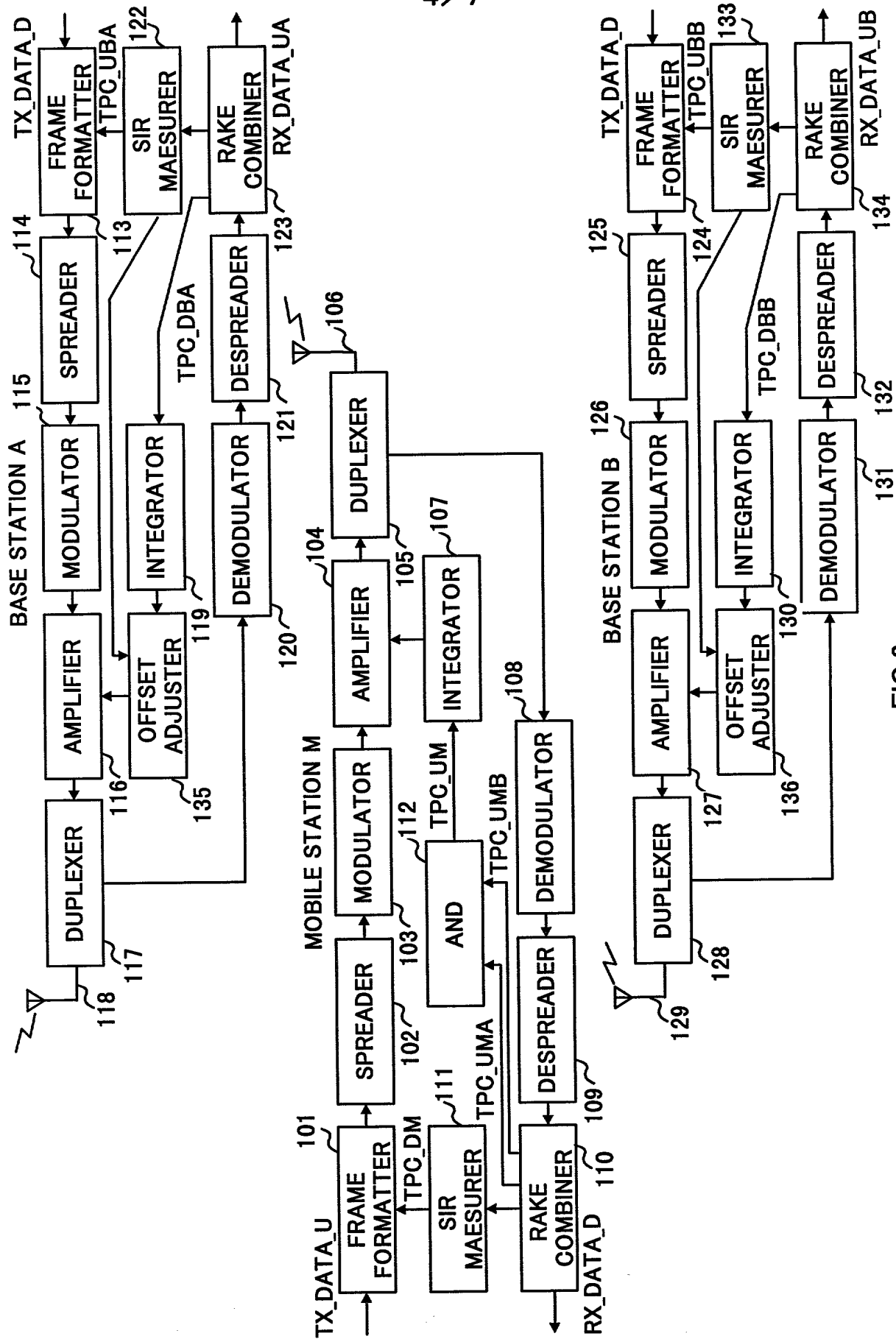


FIG. 5



**FIG. 6**

BASE STATION TRANSMISSION  
POWER WITH TRANSMISSION  
POWER CONTROL

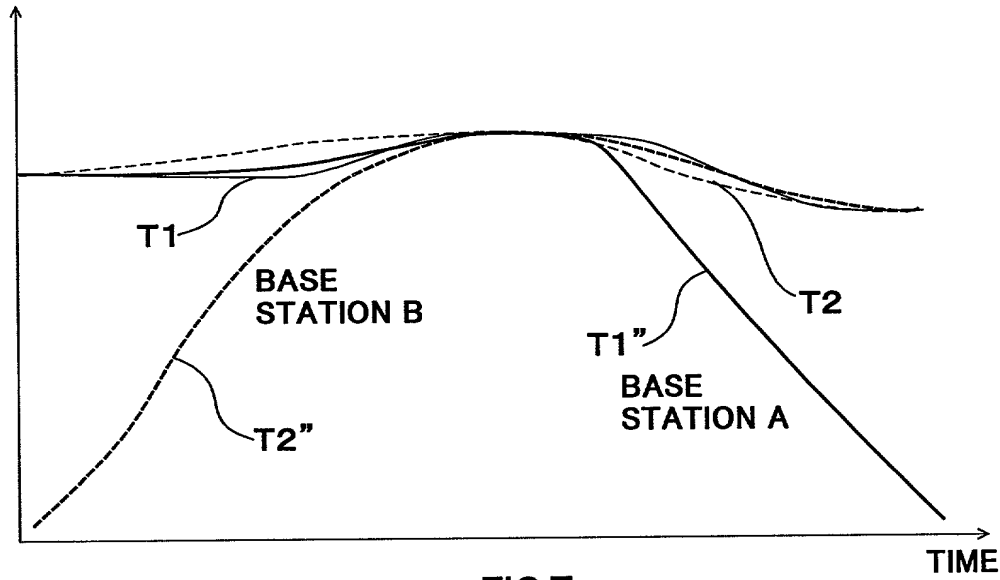


FIG.7

MS RECEPTION  
POWER

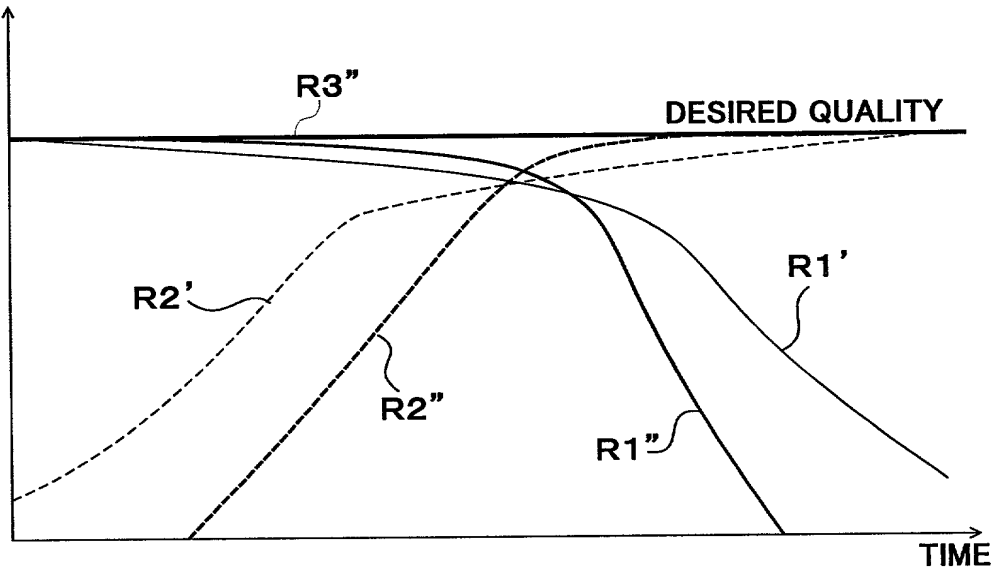


FIG.8

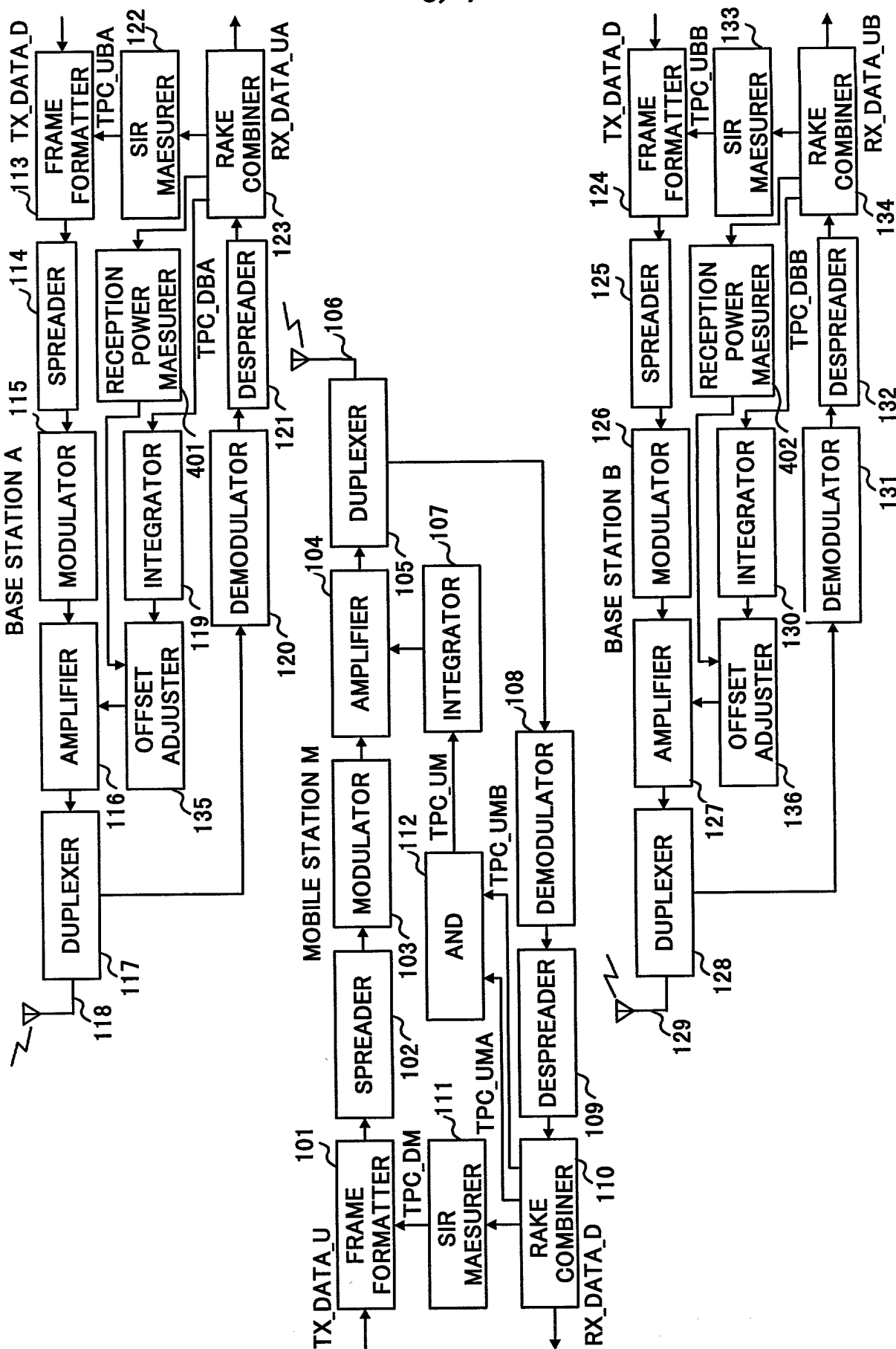


FIG.9

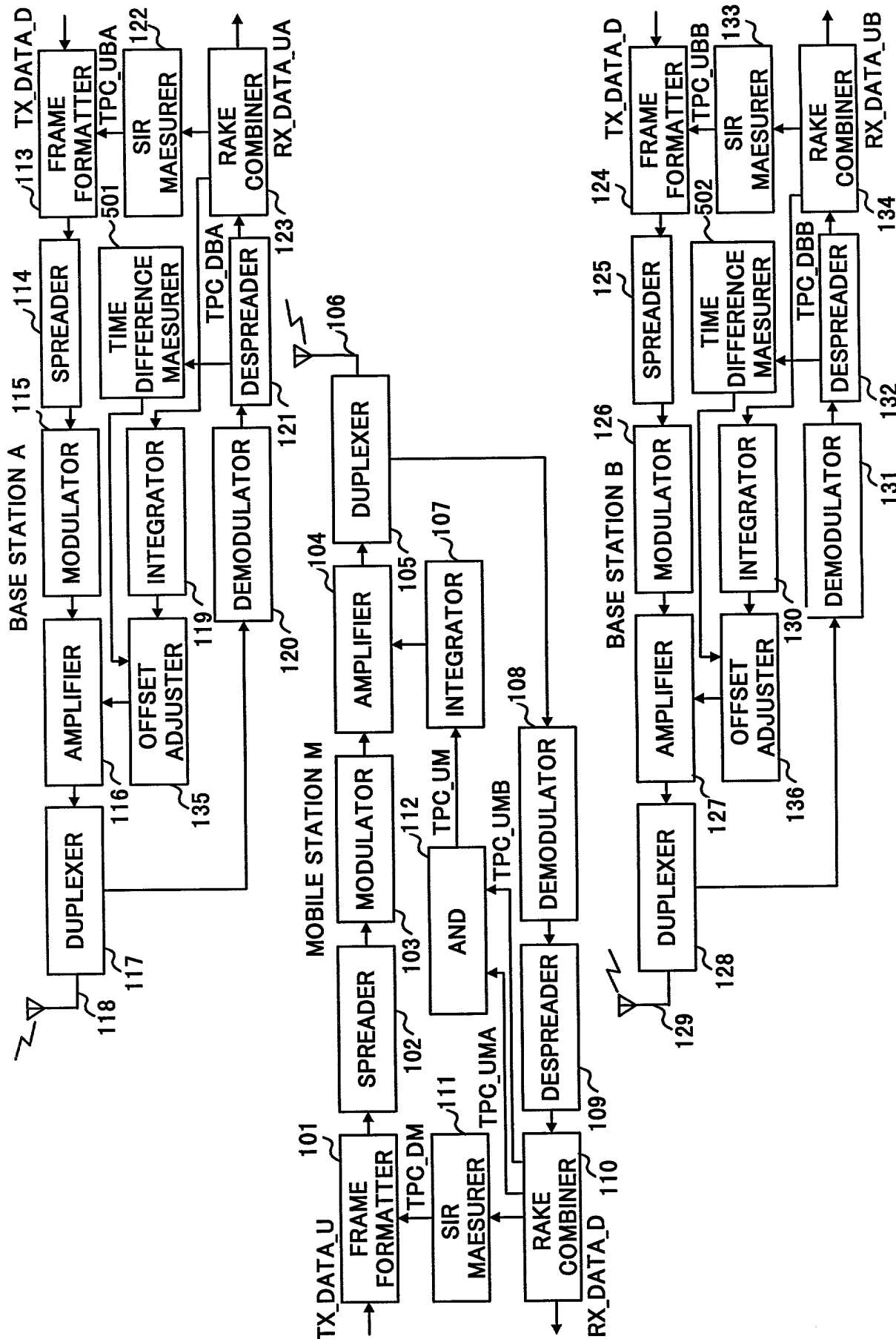


FIG.10

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**

(Includes Reference to PCT International Applications)

Attorney's Docket Number

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CDMA BASE STATION APPARATUS AND  
TRANSMISSION POWER CONTROL METHOD ✓

the specification of which (check only one item below):

☒ is attached hereto.

☐ was filed as United States applications

Serial No. \_\_\_\_\_

on \_\_\_\_\_,

and was amended on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application Number PCT/IP99/01099~

on March 8, 1999 ✓,

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

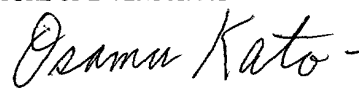
I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
JAPAN	JP10-78315	10/March/1998 ✓	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO



<b>Combined Declaration For Patent Application and Power of Attorney (Continued)</b> <small>(Includes Reference to PCT International Applications)</small>				ATTORNEY'S DOCKET NUMBER	
I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designation the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.					
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<b>U.S. APPLICATIONS</b>			<b>STATUS (Check One)</b>		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENT	PENDING	ABANDONED	
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PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBER ASSIGNED <small>(IF ANY)</small>			
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<b>POWER OF ATTORNEY:</b> As a named inventor, I hereby appoint the attorney(s) and/or agents(s) associated with the Customer Number provided below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number:					
<div style="text-align: center; border: 1px solid black; border-radius: 50%; width: fit-content; margin: 0 auto; padding: 2px;">             CUSTOMER NUMBER 7055           </div>					
The appointed attorneys presently include: Neil F. Greenblum, Reg. No. 28,394     James L. Rowland, Reg. No. 32,674 Bruce H. Bernstein, Reg. No. 29,027     Arnold Turk, Reg. No. 33,094 Roger P. Glass, Reg. No. 30,841 <u>At: Greenblum &amp; Bernstein, P.L.C.</u> <u>1941 Roland Clarke Place</u> <u>Reston, VA 20191</u> <u>Direct Telephone Calls to: Greenblum &amp; Bernstein, P.L.C. (703) 716-1191</u>					
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	POST OFFICE ADDRESS	POST OFFICE ADDRESS <u>17-1-402, Anjindai</u>	CITY <u>Yokosuka-shi</u>	STATE & ZIP CODE/COUNTRY <u>Kanagawa 238-0048 Japan</u>	
2-00	FULL NAME OF INVENTOR	FAMILY NAME <u>KATO</u>	FIRST GIVEN NAME <u>Osamu</u>	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY <u>Yokosuka-shi</u>	STATE OR FOREIGN COUNTRY <u>Kanagawa, Japan SPX</u>	COUNTRY OF CITIZENSHIP <u>Japan</u>	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <u>5-45-G302, Shonantakatori</u>	CITY <u>Yokosuka-shi</u>	STATE & ZIP CODE/COUNTRY <u>Kanagawa 237-0066 Japan</u>	
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.					
SIGNATURE OF INVENTOR 201		SIGNATURE OF INVENTOR 202		SIGNATURE OF INVENTOR 203	
					
DATE		DATE		DATE	
<u>1999. 9. 24</u>		<u>1999. 9. 24</u>			